

UNITED STATES PATENT APPLICATION

OF

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FOR

A METHOD AND APPARATUS FOR THE DETECTION AND  
CLASSIFICATION OF SIGNALS UTILIZING KNOWN REPEATED  
TRAINING SEQUENCES

## BACKGROUND OF THE INVENTION

The present system and method is generally applicable to communication systems for receiving signals in a high noise environment, and specifically of systems which monitor radio frequencies to determine the presence of a transmitted waveform. Detection of transmitted waveforms may be necessary in order to prevent transmission collisions or may be desired for monitoring or surveillance systems whose goal is to detect and exploit received signals. Although described with respect to the HF radio propagation band, the present system and method is equally applicable to all communication systems and radio frequency bands.

Collision avoidance is an important consideration in communication systems due to the limited bandwidth available. Collision avoidance systems allow an increase in the throughput of the communication system by reducing the number of collisions and thus reducing the need to retransmit signals that were not successfully received. Many communication systems or networks, both wired (e.g., Ethernet) and wireless (e.g., HF, VHF, UHF radio) utilize a form of Carrier Sense Multiple Access (CSMA) to determine whether a frequency or radio channel is being used by another station or stations prior to transmission. Systems utilizing CSMA typically detect the signal energy on the desired channel and classify the channel as in use if a predetermined threshold is exceeded. However, collision avoidance systems which rely on the detection of signal energy, generally require an environment having a positive signal-to-noise (SNR) and do not

work well in the noise and interference rich environment of radio communications where negative SNRs are common.

Signal detection of standard HF waveforms is further hampered by the use of heavily filtered Phase Shift Keyed (PSK) and Quadrature-Amplitude Modulated (QAM) waveforms. These waveforms are extremely noise-like in nature and difficult to distinguish from background noise and interference.

Conventional prior art waveform detection systems can not detect or classify a modulated waveform without first demodulating the received signal. These systems are computationally intense because the standard demodulation of the modem signal from the sub-carrier requires the demodulators to perform many functions including (a) waveform acquisition, (b) adaptive equalization, (c) forward error correction (FEC), (d) decoding, and (e) phase, time, and frequency offset tracking.

Additionally, because the received signal needs to be demodulated, the beginning of the transmission has to be received and recognized by the detection system to ensure proper demodulation.

Many of the waveforms defined in U. S. Military and NATO (STANAG) HF Standards utilize interspersed blocks of known data, commonly referred to as training sequences, in their transmissions. These blocks of known data can aid demodulators in training adaptive equalizers to track variations of the radio propagation channel caused by fading and multi-path conditions.



It is yet still another object of the present invention to provide a novel system and method to classify the type of received modulated waveform without requiring demodulation of the waveform.

It is a further object of the present invention to provide a novel system and method to perform the detection and classification of a transmitted waveform with a minimum of calculations.

These and many other objects and advantages of the present invention will be readily apparent to one skilled in the art to which it pertains from a perusal of the claims, the appended drawings, and the following detailed description of the preferred embodiments.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a pictorial representation of a conventional HF waveform.

Figure 2 is a block diagram of the present detection system utilized for detecting and classifying a waveform such as illustrated in Figure 1.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

Figure 1 illustrates an example of a typical HF waveform. The waveform is comprised of blocks of known ( $K_i$ ) or unknown ( $U_i$ ) data, where  $i$  is the number of respective known and unknown blocks. Each block is  $N$  symbols long (where  $N$  can be any number greater than 0). For example, each block may be of length 16 or 20 8-PSK symbols, transmitted at a symbol rate of 2400 or 1200 symbols per second. The known

waveform blocks have a length  $N_k$  and the unknown waveform blocks have a length  $N_u$ . Typically, the known waveform blocks repeat every  $R$  known blocks. In the example waveform of Figure 1, the repetition rate  $R$  equals 4.

Waveforms types are defined by specific standards which dictate the length of the known data blocks, the length of the unknown data blocks, and the repetition rates of the known data blocks. The present system and method exploits these known parameters to detect the presence of a waveform as well as to classify the waveform.

Because of the repetition of the known data blocks, a signal which is delayed one repetition sequence and combined with the undelayed signal will produce a peak corresponding to the reinforcement of the known data blocks of the respective signals. Thus, for the waveform of Figure 1, a correlation technique that delays the received waveform by  $R \times (N_k + N_u)$  symbols will generate a peak that can be used in a detection algorithm. A simple threshold test can be applied to the output of the correlator to determine if an expected waveform is present.

Note that if the specific type of transmitted waveform is not known, several correlators may be required, each one programmed to a different known delay according to the specific waveform standards. The correlator which produces the peak correlation value will thus indicate the presence of the waveform, and the delay required to produce the peak correlation value will identify the repetition rate of the waveform and therefore assist in classification of the waveform according to the known waveform standards.

Note that use of this detection method does not require the detection system to recognize the starting point of any block of known symbols and need not include the beginning of the transmission. At least one repetition of the known blocks is all that is required and thus detection of the waveform is common utilizing a fairly short observation period, e.g., approximately 2400 transmitted symbols.

With reference now to Figure 2, an embodiment of the present waveform detection system and method is shown for detecting the presence of three types of waveforms. Three signal correlators 200 are used to detect the presence of three expected waveform types, with each correlator programmed for the length of the known data blocks, the length of the unknown data blocks, and the repetition rates of the known data blocks for a specific expected waveform. A received signal is sent to noise correlator 201 and the three signal processors 200. Note that the received signal may be modulated and is not required that the received signal be demodulated prior to being received at signal correlators 200 or noise correlator 201. For example the signal may be at a suitable IF frequency or before sub-carrier demodulation in a Single Side Band (SSB) system.

In operation, each signal correlator 200 is programmed for an anticipated search delay based on an expected waveform. For example, if the waveform of Figure 1 is one of the expected waveforms, one of the signal detectors would be programmed to have a signal delay equal to the repetition rate of the waveform of Figure 1. For a given waveform, if the length of known data is  $N_k$  and the length of the unknown data is  $N_u$

and the known blocks repeat every R blocks, the anticipated delay for the waveform would be:

$$R \times (N_k + N_u) \quad (1)$$

For the waveform of Figure 1, the anticipated delay would be  $4 \times (N_k + N_u)$  symbols. Each of the signal correlators 200 is programmed for a different search delay depending on the expected waveform. The signal correlators 200 delay the input signal by the specified search delay, multiply the delayed signal by the un-delayed input signal and integrate the output. Each signal correlator 200 uses a number of delays surrounding and including the specified search delay. One purpose of using a window of delays around the expected delay is to resolve and utilize the multipath components of the received signal. For example, if a correlation peak for a waveform was anticipated at a delay of 1000 symbols, the signal correlator would correlate for delays between 900 to 1100 symbols. Each signal correlator 200 determines the maximum correlation value over its range of search delays and provides the maximum correlation value and the delay value at which the peak occurred to its detector 205.

Detector 205 evaluates the received peak correlation value from the signal correlator 200 and determines if peak correlation value is within a small target window, e.g., 4-10 symbols, of its expected value for the expected waveform. Detector 205 receives a noise signal from the noise correlator 201 which is representative of the background noise and determines if the peak value is greater than the background noise



value measured by the noise correlator 201. If the peak value is within the target window and greater than the background noise, presence of the expected waveform is declared.

Note that both the presence of and the type of waveform is determined without the necessity of demodulating the input signal.

It is not uncommon for standard HF waveforms to have frequency offsets of 100 Hz or more. Conventional waveform detection systems would normally have to compensate for the frequency offset in order to properly demodulate the input signal to determine if the waveform is present. However, the present system and method is capable of detecting the presence of an HF waveform having a frequency offset of several hundred Hz because the detection of the waveform is based on the repetition of the known data blocks which are expected to have the same frequency offset and therefore the frequency offset need not be compensated for. Note that the the ability to detect a received signal having a frequency offset of several hundred Hz covers the entire range of expected frequency offsets for HF waveforms.

In another embodiment of the present system and method, waveforms having small known to unknown waveform ratios can be detected. Many waveforms have known to unknown ratios in the range of 1:2 or 1:3 and the length of the known block and the signal energy therein is sufficient to detect the waveform. However, for ratios smaller than 1:3, e.g. 1:4, the noise from the unknown waveform can obscure the known waveform and make detection of the known waveform difficult. Additionally, some

waveforms use a modulation scheme which varies throughout the transmission of the waveform resulting in the known blocks having different modulation. Signal correlator 200 may be programmed to utilize a rotating mask function to detect the presence of the waveform having such small known to unknown ratios.

For example a mask function having a length  $(N_k + N_u)$  and containing  $N_k$  1's followed by  $N_u$  0's may be used to mask the energy from the known blocks and help identify the known blocks. The mask is rotated by one symbol and repeated over the duration of the input signal and the signal correlator 201 is run for all  $N_k + N_u$  alignments of the mask. The mask alignment that results in the maximum correlator output is selected. For example, for a waveform having a known block of thirty symbols and an unknown block of one hundred symbols, the mask would be comprised of thirty 1's and one hundred 0's. The mask would then rotate through for all possible delays as follows:

thirty 1's followed by one hundred 0's  
twenty nine 1's followed by one hundred 0's followed by one 1  
twenty eight 1's followed by one hundred 0's followed by two 1's  
twenty seven 1's followed by one hundred 0's followed by three 1's  
etc., etc.

Without the mask, the added noise introduced by the unknown waveform can obscure the effects of the known waveform and thus make detection of the waveform more difficult.

In another embodiment of the present system and method for detecting waveforms with smaller known/unknown ratios including low rate modulation, the signal correlator

200 is programmed to take the absolute value of each sub correlation of  $N_k$  symbols.

This eliminates the effect of low-rate modulation of the known waveform, which in the worst case scenario can cause all the component correlations to cancel each other out.

Some HF waveforms employ a known sequence which is generated by the repetition of a smaller maximal length sequence (MLS). The output from the signal correlator 200 for this type of waveform has three peaks, with the first and third being less than the center peak. In another embodiment of applicant's disclosure, a three-tap combining filter combines the energy from these three peaks to enhance the detection capability of the detectors. The filter may be an  $N$ -tap combining filter (where  $N$  is greater than or equal to 1). The value of  $N$  should be selected to match the particular properties of the autocorrelation function of the expected waveform.

Thus, the present system and method can detect a modulated waveform in a high noise environment without regard to the frequency offset of the received signal and without first demodulating the signal.

While preferred embodiments of the present system and method have been described, it is to be understood that the embodiments described are illustrative only and the scope of the invention is to be defined solely by the appended claims when accorded a full range of equivalence, many variations and modifications naturally occurring to those skilled in the art from a perusal thereof.